

# **Modeling Nonlinear Communication ICs Using a Multivariate Formulation**

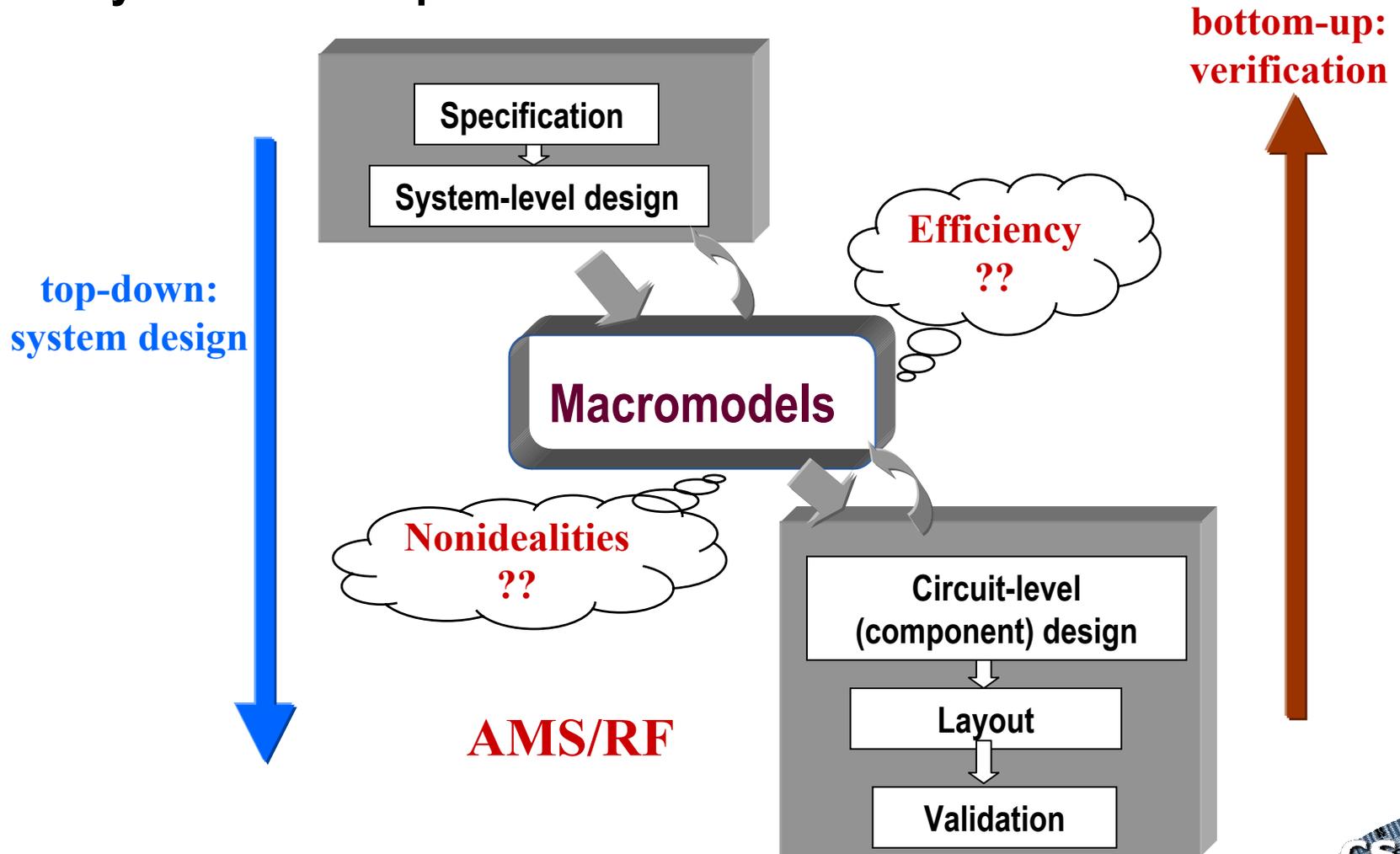
**Peng Li and Larry Pileggi**

**Department of Electrical and Computer Engineering  
Carnegie Mellon University**



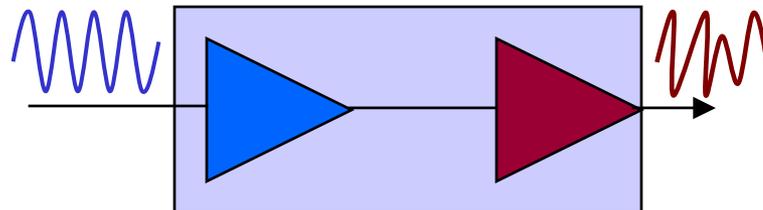
# Motivation

- Macromodeling is a key for whole-system verification and system-level exploration



# Motivation

- ❑ Nonlinear distortion is NOT negligible for analog & RF
  - Dynamic range, interference immunity, SNDR, THD, IM3 ...
- ❑ Detailed transistor-level nonlinear analyses can lead to lengthy simulation time
  - Distortion effects must be characterized on a block/subsystem basis and reflected accurately and efficiently at system-level
- ❑ A systematic *nonlinear* macromodeling strategy is required – usually a very hard problem!



# Outline

- ❑ Motivation
- ❑ Prior work
- ❑ Multivariate formulation
- ❑ Model order reduction
- ❑ Results
- ❑ Conclusions



# Prior Work

## □ Black-box based approaches

- **Neural nets, data mining, describing functions, support vector machines etc.**  
[Liu et al DAC02] [Root et al DAC03][Bernardinis DAC03]
- **Can use measurement data**

## □ White-box/equation based approaches

- **Based an explicit description of the nonlinear systems**
  - ❖ Nonlinear ODEs:
    - piecewise-linear, Volterra, piecewise-polynomial representations
- **Resolve to model order reduction (MOR) or pruning for model generation**

# Prior Work

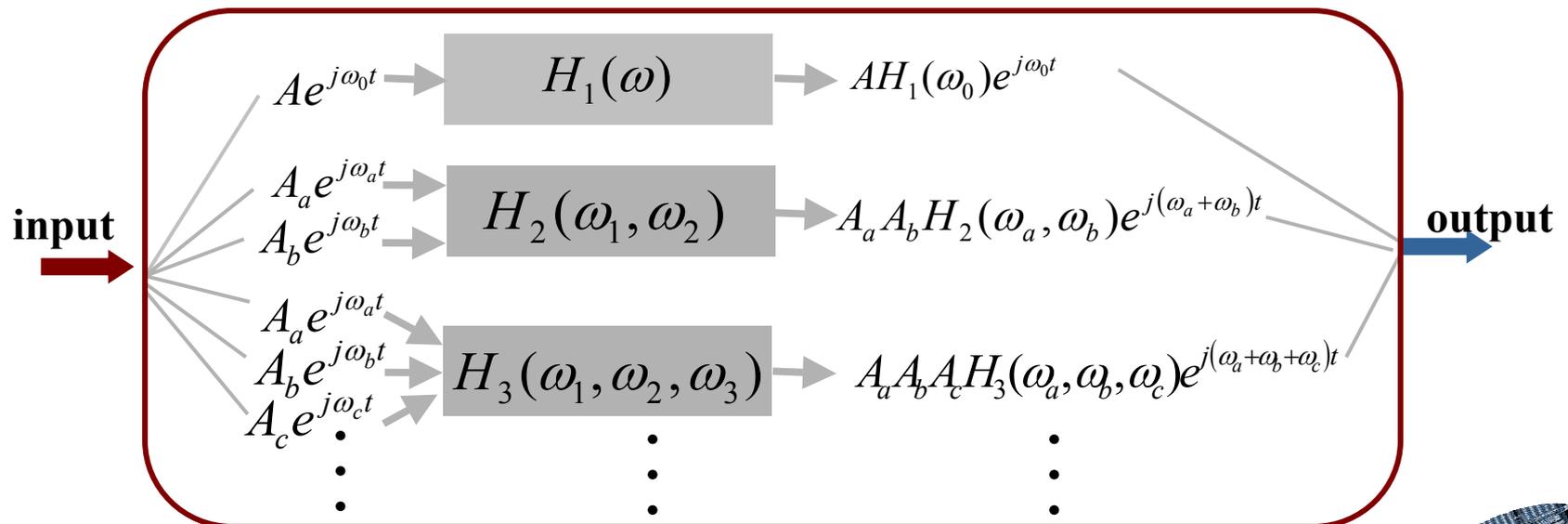
## □ Samples of recent equation-based works

- **Volterra & symbolic model generation**
  - ❖ [Wambacq et al Kluwer98, DATE00]
- **Volterra & model order reduction**
  - ❖ [Roychowdhury TCAS99], [Phillips CICC00, DAC00]  
[Li/Pileggi DAC03], [Li et al, ICCAD03]
- **Piecewise-linear & model order reduction**
  - ❖ [Rewiński et al ICCAD01], [Vasilyev et al DAC03]
- **Piecewise-polynomial (Volterra) & model order reduction**
  - ❖ [Dong/Roychowdhury DAC03]

# Volterra Series

- A commonly used system description for weakly nonlinear systems

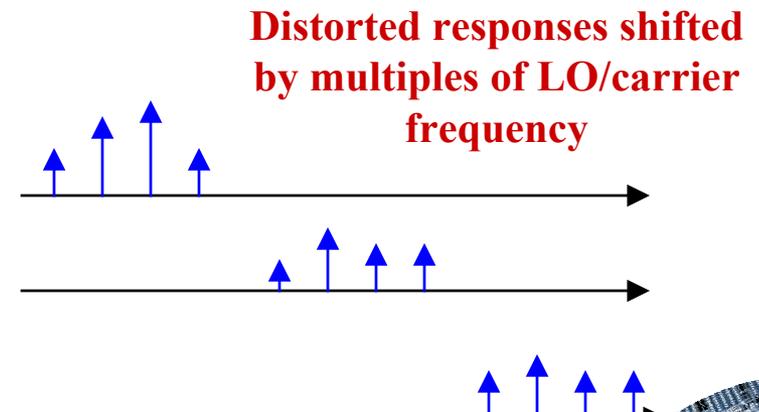
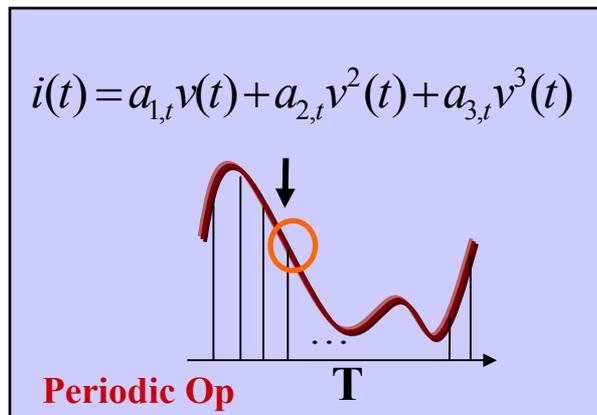
$$x(t) = \sum_{n=1}^{\infty} x_n(t)$$
$$x_n(t) = \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} h_n(\tau_1, \dots, \tau_n) u(t - \tau_1) \cdots u(t - \tau_n) d\tau_1 \cdots d\tau_n$$



# Time-Varying Volterra Series

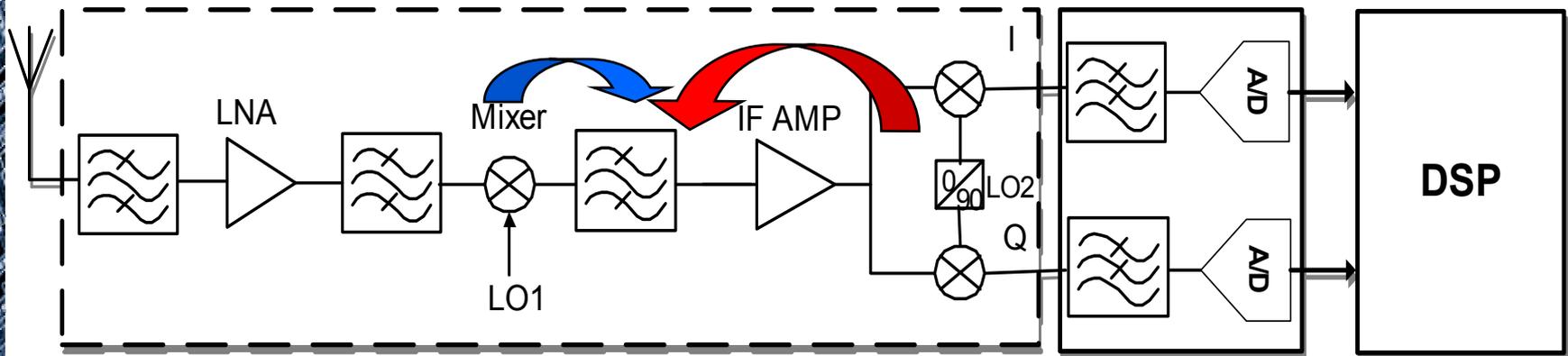
- Need to perform weakly nonlinear distortion analysis over a varying operating condition for time-varying systems
- Prior works have focused on nonlinear model order reduction based periodically time-varying Volterra series
  - E.g. mixers and SC circuits

$$H_3(t, \omega_1, \omega_2, \omega_3) = \sum_k H_{3,k}(\omega_1, \omega_2, \omega_3) e^{jk\omega_0 t}$$



# Proposed Work

- ❑ Multi-rate time variations are commonly present in communication ICs
  - Introduced by multiple LO's, sampling clocks of switched-capacitor channel select filters etc.
- ❑ Weakly nonlinear distortions are coupled with multi-rate time variations



# Problem Formulation

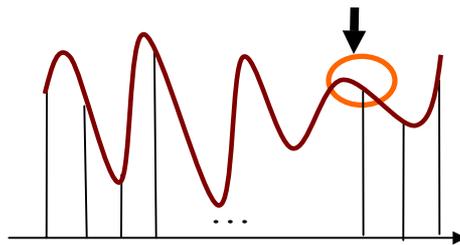
- Based upon a quasi-periodically varying operating point
- Using quasi-periodic nonlinear transfer functions for modeling weakly distortions due to the small input of interest

$$G_1(t)H_1(t) + \frac{d}{dt}(C_1(t)H_1(t, s)) + sC_1(t)H_1(t, s) = b \quad \longleftarrow \text{First order}$$

$$[G_1(t) + (s_1 + s_2)C_1(t)]H_2(t, s_1, s_2) + \frac{d}{dt}[C_1(t)H_2(t, s_1, s_2)] \quad \longleftarrow \text{Second order}$$

$$= -[G_2(t) + (s_1 + s_2)C_2(t)]\overline{[H_1(t, s_1) \otimes H_1(t, s_2)]} - \frac{d}{dt}[C_2(t)\overline{[H_1(t, s_1) \otimes H_1(t, s_2)]}]$$

$$i(t) = a_{1,t}v(t) + a_{2,t}v^2(t) + a_{3,t}v^3(t)$$



Quasi-periodic time-varying op



Quasi-periodic nonlinear transfer functions:

$$H_1(t, \omega)$$

$$H_2(t, \omega_1, \omega_2)$$

$$H_3(t, \omega_1, \omega_2, \omega_3)$$

... ..



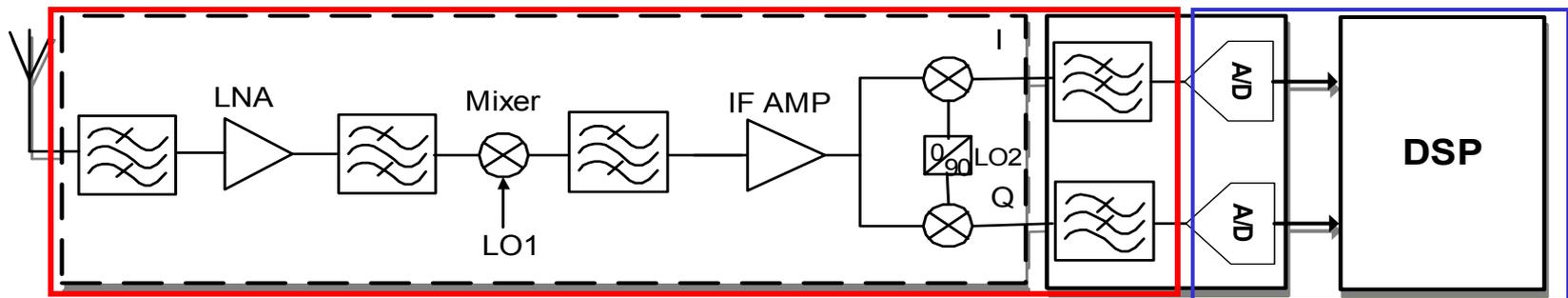
# Proposed Work

- ❑ Multi-rate RF/analog blocks should be modeled compactly for whole system simulation
- ❑ Divide-and-conquer
  - partition a multi-rate subsystem into chunks and model each chunk as periodically-varying (associated with a single LO/clock)
- ❑ Direct approach
  - Treat the multi-rate RF/analog block more generally as *quasi-periodic*
  - Cons: Larger problem size
  - Benefits
    - ❖ Allow a full consideration of interactions between different stages
      - ❑ Parasitic coupling, leakage
    - ❖ Potential increase of modeling efficiency – a single piece model for the complete multi-rate subsystem



# Our Approach

- Previous works have focused on reduced-order modeling of periodically time-varying weakly nonlinear circuits (e.g. mixer)
  - Using a Volterra description
- We need to consider more general quasi-periodically time-varying systems to accommodate multi-rates



$$\tilde{\tilde{x}} = A_1 \tilde{x} + A_2 (\tilde{x} \otimes \tilde{x}) + \dots$$

**Macromodel**

**Co-Simulate With Digital**



# Problem Formulation

- ❑ Model the weakly nonlinear distortions under a multi-rate varying operating condition
  
- ❑ Need to formulate proper quasi-periodic boundary conditions for nonlinear transfer functions
  - Formulating matrix-form system description for reduced-order modeling
  
- ❑ Can use a boundary condition formulation very similar to that used in steady-state analyses
  - Delay operator [Kundert et al JSCC89] [Feng et al DAC99]
  - MPDE approach [Roychowdhury TCAS01]



# Multivariate Formulation

- Introduce one time-variable for each large time-variation
- Can simply enforce periodic boundary condition for each time-variable
- Birate case

$$\begin{aligned}
 &G_1(t) \quad G_2(t) \\
 &C_1(t) \quad C_2(t) \\
 &H_1(t, \omega) \\
 &H_2(t, \omega_1, \omega_2) \\
 \\
 &G_1(t)H_1(t) + \frac{d}{dt}(C_1(t)H_1(t, s)) \\
 &+ sC_1(t)H_1(t, s) = b
 \end{aligned}$$

$$X(t) = \hat{X}(t, t)$$



$$\begin{aligned}
 &\hat{G}_1(t_1, t_2) \quad \hat{G}_2(t_1, t_2) \\
 &\hat{C}_1(t_1, t_2) \quad \hat{C}_2(t_1, t_2) \\
 &\hat{H}_1(t_1, t_2, \omega) \\
 &\hat{H}_2(t_1, t_2, \omega_1, \omega_2) \\
 \\
 &\hat{G}_1(t)\hat{H}_1(t_1, t_2) + \frac{\partial}{\partial t_1}(\hat{C}_1(t_1, t_2)\hat{H}_1(t_1, t_2, s)) + \\
 &\frac{\partial}{\partial t_2}(\hat{C}_1(t_1, t_2)\hat{H}_1(t_1, t_2, s)) + s\hat{C}_1(t_1, t_2)\hat{H}_1(t_1, t_2, s) = b
 \end{aligned}$$

**Quasi-periodic**

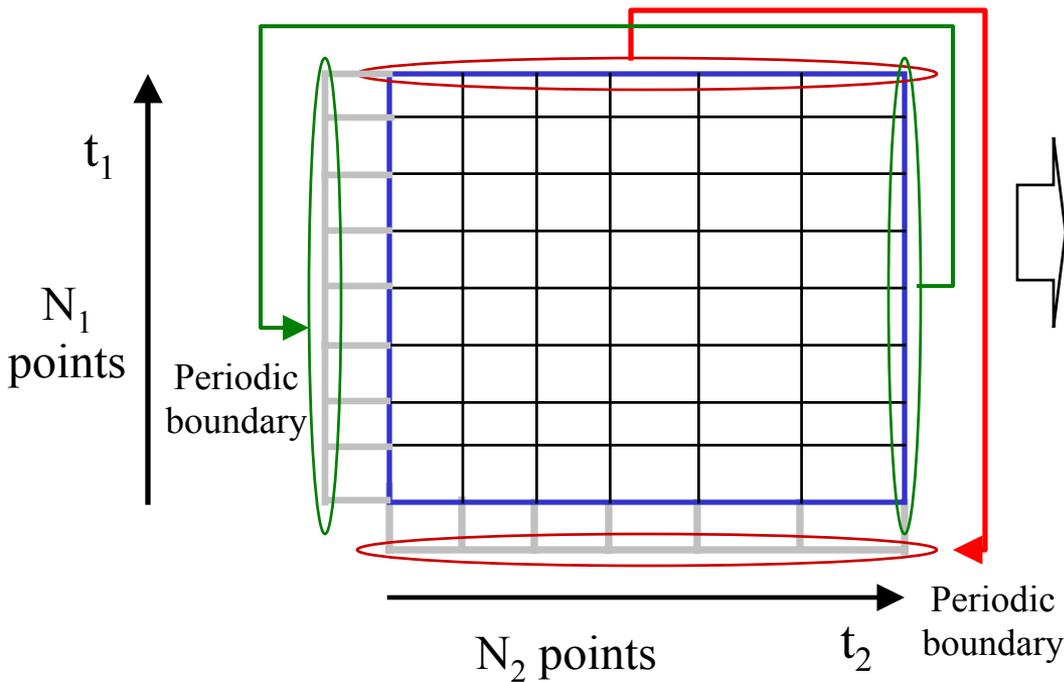
**Biperiodic:**

$$\hat{X}(t_1 + T_1, t_2 + T_2) = \hat{X}(t_1, t_2)$$



# Multivariate Formulation

- Use finite-difference discretizations lead to a set of linear algebraic equations for transfer functions
- Birate case
  - Discretize the PDEs (in terms of Volterra) on a 2D grid
  - Substitute in biperiodic boundary conditions



$$[\tilde{J}_1 + s\tilde{C}_1]\tilde{H}_1(s) = \tilde{b}$$

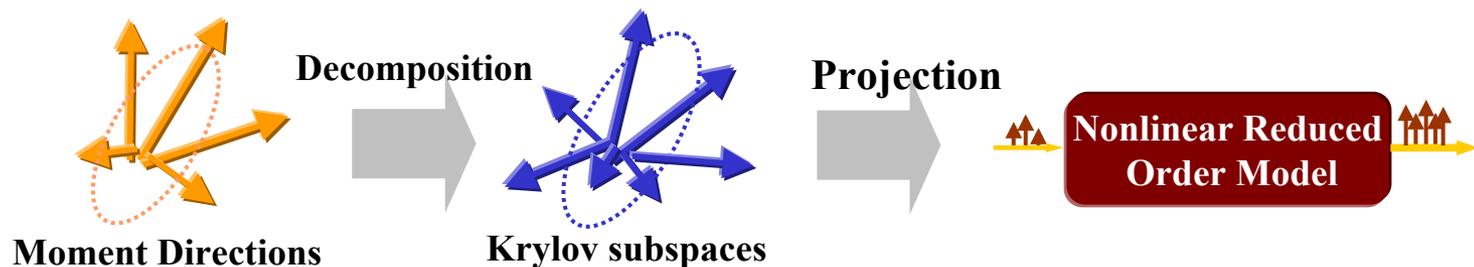
$$[\tilde{J}_1 + (s_1 + s_2)\tilde{C}_1]\tilde{H}_2(s_1, s_2) =$$

$$-[\tilde{J}_2 + (s_1 + s_2)\tilde{C}_2]\tilde{H}_{11}$$

⋮ ⋮

# Model Order Reduction

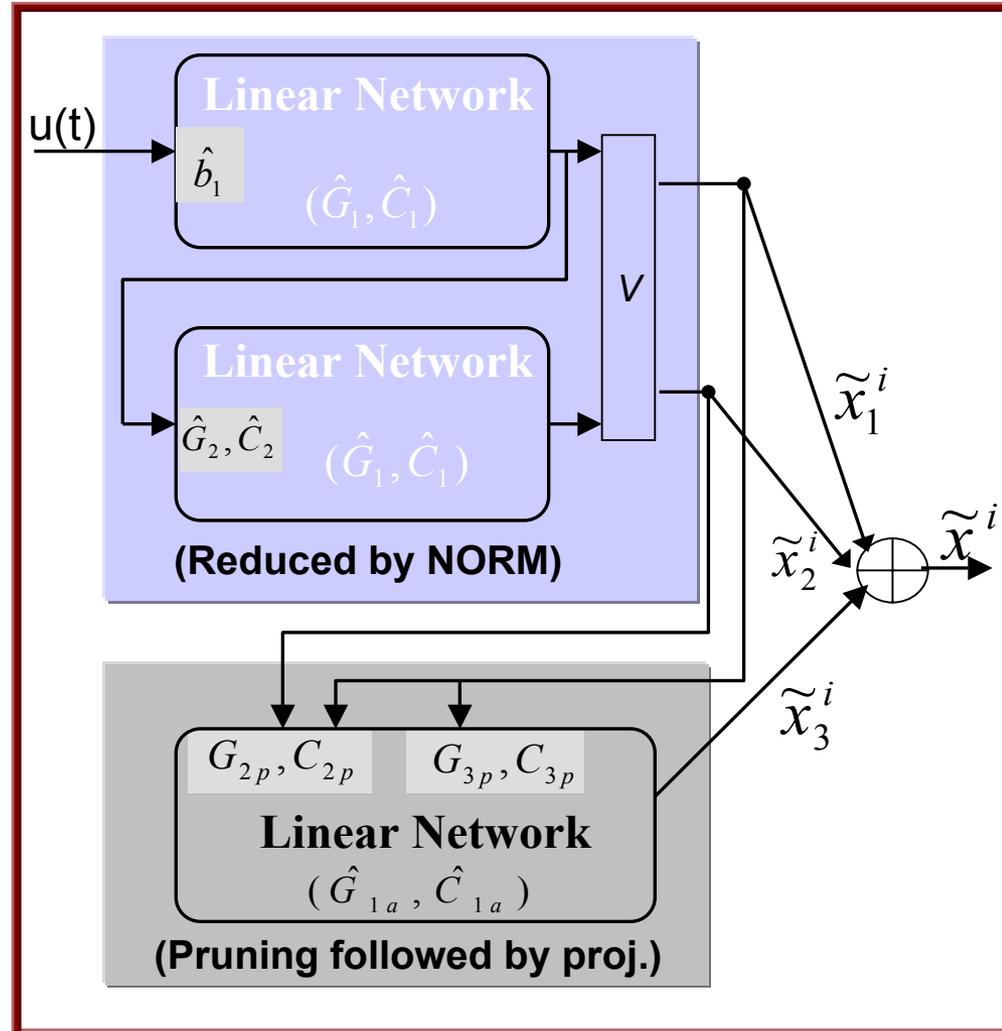
- Discretized multivariate transfer functions can be reduced by recently developed nonlinear model order reduction algorithm NORM [DAC 03]
  - Nonlinear transfer function moments are matched by projection-based nonlinear Padé approximations



- But for high-Q circuits, a full projection approach becomes ineffective for modeling high-order distortions [Li et al, ICCAD03]
  - Many projection vectors are needed for modeling the full frequency range
  - Large model size

# Reduced Hybrid Model

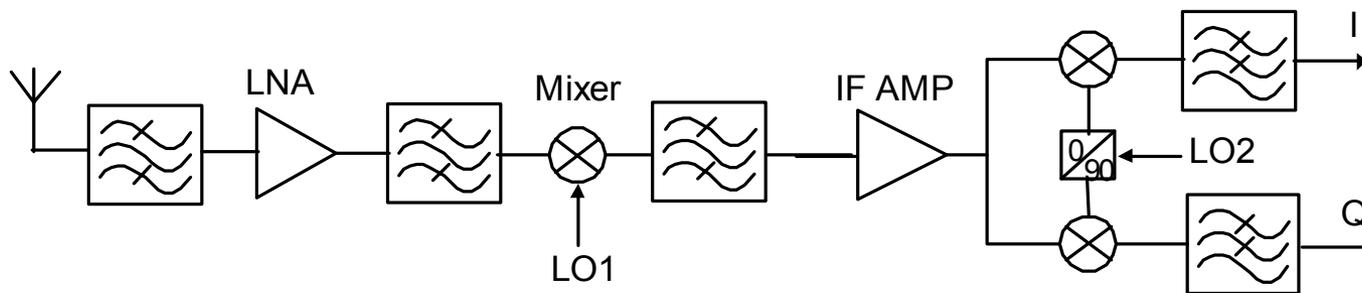
- Low-order responses are projected onto the reduced coordinates and then mapped back.
- High-order response is evaluated in the original coordinates based on sparsified matrices and the propagation through reduced adjoint network.



# Results

## □ A heterodyne receiver

- $f_{lo1} = 880\text{MHz}$ ,  $f_{lo2} = 70\text{MHz}$
- Quasi-periodic operating condition is computed by 2-tone steady-state analysis
- The system is modeled using multivariate Volterra series using 40,000 unknowns
- The extracted full model is then reduced by a combination of projection-based reductions



# Results

## □ Model structure

### ➤ **First and second order nonlinear responses**

- ❖ Approximated using a nonlinear reduced model of size 36 produced by multi-point NORM

### ➤ **Third order response**

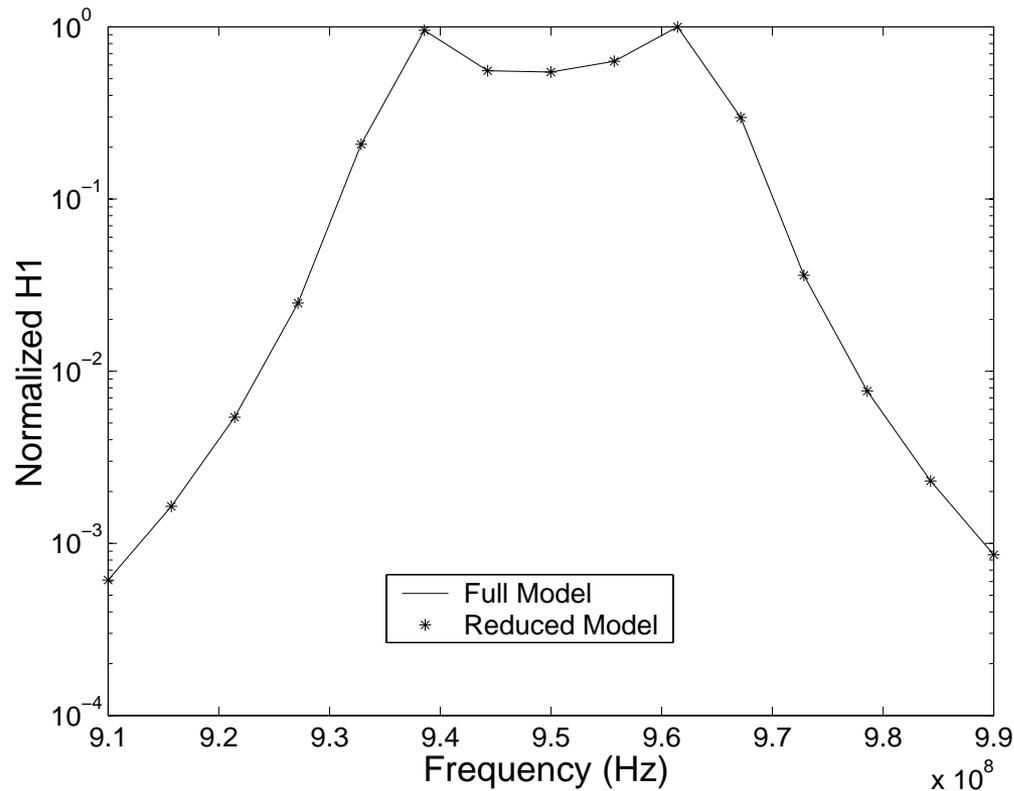
- ❖ Approximated using the above model and a reduced adjoint model of size 20

## □ Achieved 13x runtime speedup using the reduced order model

- **Further model simplification is possible via a pruning processing in the original system coordinates**

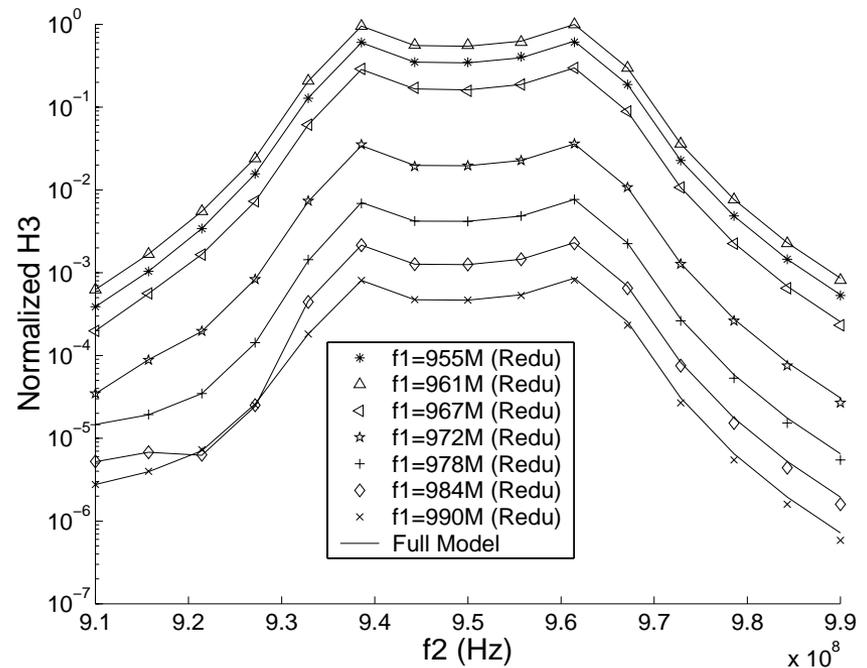
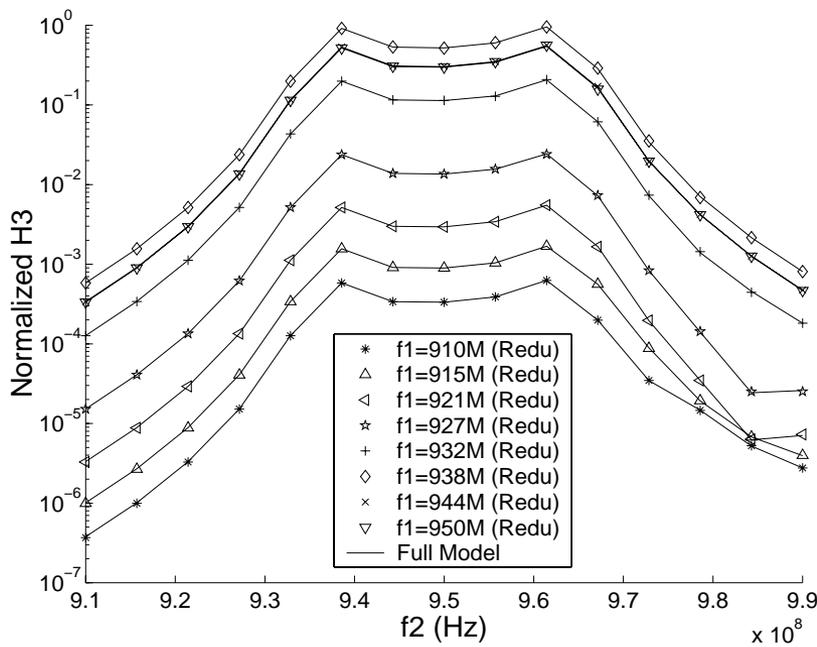
# Results

- One harmonic of the 1<sup>st</sup> order transfer function at the output
  - Specifying the linear conversion gain



# Results

- One harmonic of the time-varying third order nonlinear transfer function at the output
  - Specifying the amount of IM3 translated to the base band



# Conclusions

- ❑ A nonlinear model generation approach is presented for modeling multirate nonlinear communication ICs
- ❑ Nonlinear distortion effects are characterized using a multivariate formulation of Volterra nonlinear transfer functions
- ❑ Compact circuit models are generated via a combination of projection-based reductions for achieving improved efficiency at high-level system simulation

